

FAIS DISCLOSURE DOCUMENT

A. INTRODUCTION

In terms of the General Code of Conduct of the FAIS Act, **MyWealth Investments** is required to disclose the information in this document to you. If there is anything in this document that you do not understand, please request further information from us.

B. INTRODUCTION

MyWealth Investments is an authorised financial service provider, FSP45214

Company Name	Regenesys Investment Fund (Pty) Ltd t/a MyWealth Investments
Registration Number	2013/215799/07
FSP No.	45214
LEI No.	254900ERGSOJDBD8N879
Postal Address	Postnet Suite #71, Private Bag X9976, Sandton 2146
Physical Address	165 West Street Sandton Johannesburg
Legal Status	Private Company
Contact Person	Annatjie van Rooyen
E-mail	info@mywealthinvestments.co.za
Website	www.mywealthinvestments.co.za
Telephone Number	(011) 669 5000

C. COMPLIANCE OFFICER

Name	Askari Compliance Services
Practice Number	4863
Physical Address	336 Clark Street, Waterkloof, Pretoria
Contact Person	Warren Neale
E-mail	warren@askaricompliance.com
Telephone Number	082 923 6260

D. FINANCIAL SERVICE AND PRODUCTS

Category	Advice	Intermediary
CATEGORY I		
Long-Term Insurance subcategory B1	X	X
Long-Term Insurance subcategory B2	X	X
Long-Term Insurance subcategory B2-A	X	X
Long-Term Insurance subcategory B1-A	X	X
Long-Term Insurance subcategory C	X	X
Retail Pension Benefits	X	X
Pension Funds Benefits	X	X
Shares	X	X
Money Market Instruments	X	X
Debentures and securities debt	X	X
Warrants, certificates and other instruments	X	X
Bonds	X	X
Derivative Instruments	X	X
Participatory interest in a collective investments scheme	X	X
Forex investments	X	X
Long-Term Deposits	X	X
Short-Term Deposits	X	X
CATEGORY II – Discretionary FSP		
Long-Term Insurance subcategory B1		X
Long-Term Insurance subcategory B2		X
Long-Term Insurance subcategory B2-A		X
Long-Term Insurance subcategory B1-A		X
Long-Term Insurance subcategory C		X
Retail Pension Benefits		X
Pension Funds Benefits		X
Shares		X
Money Market Instruments		X
Debentures and securities debt		X
Warrants, certificates and other instruments		X
Bonds		X
Derivative Instruments		X
Participatory interest in a collective investments scheme		X

E. INDEMNITY COVER

MyWealth Investments is insured under a Professional Indemnity cover for the amount of R1 000 000.00

F. FINANCIAL INTELLIGENCE CENTER ACT (FICA)

In terms of FICA, MyWealth Investments is an accountable institution.

Our Risk Management and Compliance Programme requires that we perform a Client Due Diligence, whereby we are required to identify our prospective clients, verify the given information and keep records of the verifying documents.

G. CONFLICT OF INTEREST MANAGEMENT POLICY

MyWealth Investments has adopted and implemented a Conflict of Interest Management Policy that complies with the provisions of the FAIS Act.

The Conflict of Interest Management Policy is published on the website of MyWealth Investments.

H. COMPLAINTS

MyWealth Investments has a formal Complaints Policy & Process, which is published on the website of MyWealth Investments.

I. EXEMPTIONS

No specific exemptions or the existence of any exemptions have been granted or made by the registrar with regard to any matter covered by the Act.

J. OMBUD DETAILS

FAIS Ombud: Adv. Nonku Tshombe, Tel. No.: 012 470-9080, Fax No.: 012 470-9098, Physical address: 473 Lynwood Road, Lynwood, Pretoria.

K. SHAREHOLDING

In terms of shareholding, neither MyWealth Investments, nor I, own a shareholding of more than 10% in any of the product providers.

L. REMUNERATION PROFILE

MyWealth Investments declare that their main income is derived from broking fees, management fees, commission, consultation fees and interest on student loans.

M. REPRESENTATIVES

MyWealth Investments currently has the following representative on the FSP Register namely: Annatjie van Rooyen.